

CALIFORNIA ASSOCIATION OF STATE AUDITORS

July/August 2021
Volume 22



President's Message

Happy New Fiscal Year Members! At the beginning of each fiscal year, it is important to take a moment and reflect on the accomplishments of the past, and look forward to what we hope to accomplish in the future.



This past year was an unusual time due to the COVID pandemic and brought many challenges. Despite the obstacles that arose, we accomplished many great successes. For example,

CASA transitioned its training platform to include on-line live training courses that touched on a variety of topics that were relevant to our current environment. Due to the lessons learned, CASA has the ability to offer its members on-line live training or in-person training as the future environment permits.

CASA held two on-line Coffee with CASA breakfast meetings, the most recent meeting attracted over 100 participants to listen to our featured speaker Kathleen Webb from the Department of Motor Vehicles. This fall, CASA plans to hold an in-person Coffee with CASA breakfast meeting at the downtown Hyatt and is coordinating equally engaging speakers.

CASA continued to organize and perform its Peer Reviews of entity's compliance with Government Auditing Standards, seamlessly transitioning to complete the reviews remotely, yet timely. CASA's Peer Review program will continue to seek participants to acquire experience conducting Peer Reviews, enabling this free service to continue to be provided to its participating entities.

CASA wished several Board and Committee members a fond farewell, and welcomed new members to continue to fulfill the organization's mission. The current Board and Committee members, along with the assistance from the CASA membership, will continue to bring new and innovative ideas pertaining to training, Coffee with CASA breakfast meetings, and Peer Reviews. Additionally, CASA plans to upgrade its website, stay tuned for the upcoming enhancements!

Wishing you all a Happy New Fiscal Year, and looking forward to seeing you at an in-person event soon!

~Cheryl

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CASA Team

- Cheryl McCormick
President
- Leanna Sinibaldi
Vice President
- Laura Langston
Treasurer
- Sara Sheikholislam
Secretary
- Shanah McKnight
Executive Director
- Chris Prasad
Training Coordinator
- Mary Camacho
Peer Review
- Lisa Negri
Peer Review
- Jennifer Whitaker
Immediate Past
President

CASA TRAINING

CASA training is returning to the Hilton with the August 30, 2021 training. The seating will be more spacious and the Hilton is following all prescribed cleaning requirements. Register now!



In-Person training August 30!

AUGUST 2 & 3, 2021-Online

Risk Assessments Done Right-RESERVE YOUR SPOT NOW!

Instructor: Raven Catlin, CPA, CISA, CFSA

Monday & Tuesday, August 2 & 3, 2021, 8:30 a.m.-12:30 p.m.

Live online training

The very popular instructor Raven Catlin is back! Attaining a higher level of thinking is essential to performing effective risk assessments. During this interactive course you will gain skills essential to perform effective risk assessments the right way, how to help others perform a risk assessment, and how to explain risk assessments to your clients and team members. By using a higher level of thinking, you will learn to look past the obvious risks, identify risks across your organization, and assess risks to help prioritize review efforts.

Who should attend: Internal Auditors, Risk Managers, Business process owners, Executive Officers CFOs & Controllers, CEOs, Presidents,

Attendees will learn to:

- Develop a risk assessment methodology
- Perform effective & efficient risk assessments

TRAINING

AUGUST 30, 2021 –In Person

The Red Book-Professional Standards for Internal Auditors

Instructor: Vicki McIntye, CIA, CPA, CFSA, CRMA, CGAP

Monday August 30, 2021, 8:30am-4:30pm

Don't miss our in-person training with Vicki! This course is designated to give Internal Auditors at all levels, in business and industry and the public sector, familiarity with the Institute of Internal Auditors (IIA) International Professional Practices Framework (IPPF), commonly referred to as the Red Book. The course explores mandatory and non-mandatory guidance, providing the foundation for delivering a broad range of internal audit services to help the organization accomplish its objectives, and improve governance, risk and internal control. Course learning objectives include developing an awareness of the:

- IIA's IPPF and elements of mandatory and non-mandatory, but highly recommended guidance.
- Definition and mission of internal audit, the 10 core principles and the Code of Ethics.
- "Red Book" standards.
- Assurance and consulting services.
- Attribute, performance and implementation standards.
- Practical application and usefulness of the implementation guidance.

At the conclusion of this course, participants will understand:

- The distinction between the IIA's mandatory and non-mandatory guidance.
- The IIA's definition and mission of internal audit, the 10 core principles and the Code of Ethics.
- The IIA's "Red Book" structure and practical usefulness.
- The distinction between assurance and consulting internal audit services.
- The application of attribute, performance and implementation standards.

Register now!

TRAINING

EARNING CPE IN REMOTE TRAINING

CASA has a responsibility to monitor individual attendance to assign the correct number of CPE credits.

- For online courses, participants are required to logon with their personally issued link and engage in the designated interactivity elements for attendance (a minimum of 3 times per hour to receive the full credit). A participant's self-certification of attendance alone is not sufficient.
- We are unable to grant CPE in cases where technical difficulties and operational requirements are not met. Participants that do not receive the video conferencing link information, or are experiencing any issues, should email CASAuditors@gmail.com.
- Late arrivals, early departures, or missed course instruction time will result in a proration of CPE credits. Once the first 50-minute hour of CPE has been completed, the participants will received .5 hour credit for each 25-minute segment.
- If participants anticipate missing any of the course, they should see the course monitor at a live course or email CASAuditors@gmail.com as soon as possible.



WELCOME NEW BOARD MEMBERS!

Thank you! Big thank you to Jodi Epperson for her service as the Vice-President.

SECRETARY: The Board welcomes Sara Sheikholislam as the CASA Board Secretary. Sara is the Deputy Director of the Internal Audits Office at the California State Lottery. She has more than 16 years of internal audit experience at a variety of state departments. Sara is passionate about internal audits and truly believes in the work. She appreciates learning from others and the reasonably priced training classes, like CASA! Sara earned her Bachelor of Arts in English from the University of California, Davis.

VICE-PRESIDENT: Leanna Sinibaldi moved from CASA Secretary to Vice-President. She is currently the DMV Internal Audits Manager. She has 29 years of state service with the majority in auditing. She has led several Peer Reviews. Leanna believes everyone is a student and teacher and a firm believer in we never stop learning and encourages all auditors to get involved in professional organizations. She is UC Davis graduate.

WELCOME

MEMBERSHIP

CONTACT INFO

Got questions?
Contact us via email at

CASAuditors@gmail.com

MEMBERSHIP

Membership is open to all
auditors, accountants,
and state employees.

No membership fees!

RECRUITMENT

Do you have a position you want to advertise? Let us know and we will advertise to the CASA membership. Please email us at CASAuditors@gmail.com

DMV-Associate Management Auditor
JC-259972 FFD: 8/2/21

The DMV is a trusted leader in delivering innovative services. The Audits Office is seeking a motivated technology-forward Associate Management Auditor (AMA) but will consider a Staff Services Management Auditor (SSMA). Under the direction of a Senior Management Auditor and the supervision of a Staff Management Auditor, the AMA/SSMA works in a fast-paced, technologically modern Department; works in a team environment using electronic work papers to plan, schedule, and conduct external audits for DMV. Conduct risk-based audits in an agile environment to develop insightful findings and recommendations. Complete description [here](#).





**INVALUABLE
EXPERIENCE!**

**GREAT INSIGHT
INTO THE BEST
PRACTICES OF THE
AUDITING FIELD!**

**LEARN HOW TO
IMPROVE YOUR
QUALITY
CONTROL
PRACTICES!**

**MEET OTHER
ENTHUSIASTIC
AUDIT
PROFESSIONALS!**

**WANT TO KNOW
MORE? VISIT
WWW.CASA1.ORG/FAQS**

**HAVE QUESTIONS?
CONTACT OUR CASA
PEER REVIEW
COORDINATORS:**

**mary.camacho@dof.ca.gov or
lisa.negri@energy.ca.gov**

VOLUNTEER OPPORTUNITIES

Participating in a peer review is a great opportunity for leaders within the State of California audit community to exchange ideas about best practices and to learn from each other, while helping audit units meet their external assessment requirement.

If you are highly motivated and meet the desired qualifications, we encourage you to apply by submitting a completed nomination form located at www.casa1.org/ review nomination to the peer review coordinators.

Apply Now!

Management and licensed professionals (CPA, CIA, CGAP etc.) are strongly encouraged to apply

