

CALIFORNIA ASSOCIATION OF STATE AUDITORS

March 2022
Volume 25



President's Message



Hello CASA Members!

I'm not sure if it is just me, but 2021 seemed to fly by and we are already half-way through the first quarter of 2022! I enjoyed the rainy and colder weather December brought and am hopeful we will receive more rain in the upcoming months. If during the colder evenings you are assessing your annual training plan, we have several interesting courses with talented instructors scheduled for the first half of the year. We will continue with remote training through the spring and will re-evaluate re-summing in-person classes. Please check our [website](#) for the available courses and let us know of any additional training topics that interest you.

~Cheryl



Inside this issue

President's Message.....	1
Training.....	2
Member Info.....	6
Peer Review Update	7

CASA Team

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VIRTUAL TRAINING

Virtual training continues! Your safety is of the utmost importance to CASA. Please check out the variety of training CASA offers.



TRAINING



Continuing Professional Education

Government Auditing Standards (Yellowbook) 4.16 “Auditors who plan, direct, perform engagement

procedures for, or report on an engagement conducted in accordance with GAGAS should develop and maintain their professional competence by completing at least 80 hours of CPE in every 2 year period...”



International Professional Practices Framework Standard 1230 “Continuing Professional Development-Internal auditors must enhance their knowledge, skills, and other competencies through continuing professional development.”



Register Now!

[Register by email to CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

MARCH COURSE

Quality Assurance for the Internal Audit Activity

Instructor: Vicki McIntyre, CIA, CPA, CFSA, CRMA

Location: Online via Zoom

March 7, 2021 8:30 a.m.—12:30 p.m. *and*

March 21, 2021 8:30 a.m.—12:30 p.m.



8 CPE

Cost \$230

This course is oriented around roles and responsibilities for all internal auditors, regardless of their position or level in the audit organization, to embrace continuous improvement in their day-to-day work activities. Collectively, this translates to overall continuous improvement of the audit activity and enhanced value delivery to organization stakeholders.

Objectives include:

- Understand the purpose of a quality assurance and improvement program (QAIP);
- Understand ongoing monitoring activities;
- Develop awareness of requirements for reporting on the QAIP.

At the conclusion of this course, participants will understand:

- The purpose of a QAIP;
- External quality activities and assessments;
- Requirements for reporting on the QAIP;
- Internal quality activities and the individual auditor's role.

[Register by email CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

TRAINING

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APRIL COURSE

Audit Sampling: Tools for Success and

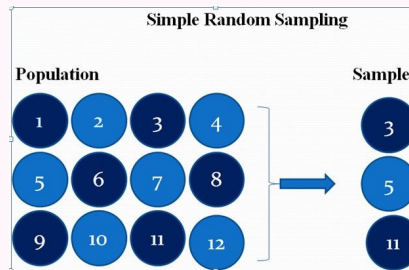
How to Prevent Audit Failures

Instructor: Jennifer F. Louis, CPA

Location: Online via Zoom

April 11, 2022 8:30 a.m.—12:30 p.m. and

April 12, 2022 8:30 a.m.—12:30 p.m.



Cost \$230

- Audit sampling and its definition, when audit sampling is valuable, audit sampling and audit evidence, and the audit risk model.
- Attribute sampling, sample size determination & sample selection, evaluating sampling results and documentation.
- Determining the extent of further audit procedures, substantive audit sampling techniques and tolerable misstatement, audit implications of substantive test results, and communications with management and governance.
- The AICPA's enhancing audit quality initiatives
- Quality control standards
- Best practices
- Proposed changes to quality control

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TRAINING



EARNING CPE IN REMOTE TRAINING

CASA has a responsibility to monitor individual attendance to assign the correct number of CPE credits.

- For online courses, participants are required to logon with their personally issued link and engage in the designated interactivity elements for attendance (a minimum of 3 times per hour to receive the full credit). A participant's self-certification of attendance alone is not sufficient.
- Participants that do not receive the video conferencing link information, or are experiencing any issues, should email CASAuditors@gmail.com. We are unable to grant CPE if you have technical difficulties and cannot participate or complete polling questions.
- Late arrivals, early departures, or missed course instruction time will result in a proration of CPE credits. If participants anticipate missing any of the course, they should see the course monitor at a live course or email CASAuditors@gmail.com as soon as possible.



Coffee with CASA
Artificial Intelligence
Wednesday, May 11, 2022
8:30 a.m.—10:00 a.m.
Stay tuned for more details

Free 1 CPE

TRAINING



VOLUNTEER OPPORTUNITIES

Participating in a peer review is a great opportunity for leaders within the State of California audit community to exchange ideas about best practices and to learn from each other, while helping audit units meet their external assessment requirement.

If you are highly motivated and meet the desired qualifications, we encourage you to apply by submitting a completed nomination form located at [www.casa1.org./review nomination](http://www.casa1.org./review-nomination) to the peer review coordinators.

Apply Now!

Management and licensed professionals (CPA, CIA, CGAP etc.) are strongly encouraged to apply



INVALUABLE
EXPERIENCE!

GREAT INSIGHT
INTO THE BEST
PRACTICES OF THE
AUDITING FIELD!

LEARN HOW TO
IMPROVE YOUR
QUALITY
CONTROL
PRACTICES!

MEET OTHER
ENTHUSIASTIC
AUDIT
PROFESSIONALS!

WANT TO KNOW
MORE? VISIT
WWW.CASA1.ORG/FAQS

HAVE QUESTIONS?
CONTACT OUR CASA
PEER REVIEW
COORDINATORS:

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