

# CALIFORNIA ASSOCIATION OF STATE AUDITORS



Volume 2

July 2017

## Message from the President

Greetings Members!

Time is flying and it hard to believe we are midway through the calendar year! As you have noticed, this year has been filled with change. We have new board members, a new executive officer, we are switching up our training classes, and we are experimenting with new training venues. Our goal is to provide the best training possible and /or assist you with your peer reviews.

Please be patient with our changes as we are still working through the logistics, but remember that change is good!

We welcome your feedback and consider our membership when making decisions.

All the best,

Roberto



Due to fraudulent activity on the CASA website, we are not accepting credit card payments for the time being. We are looking to change vendors and will resume online payment once we resolve the issue.

In the meantime, payment for training must be made via check. Please click [here](#) for further details.

We apologize for the inconvenience.

## Save the Date

*Breakfast Meeting:*

*BOE Evaluation*

*CPE -1 hour*

*Hyatt Regency*

*November 14th*

*8:00 AM*

## **CASA Board**

President

*Roberto Zavala*

Vice President

*Jennifer Whitaker*

Treasurer

*Karen Daily*

Secretary

*Laura Langston*

# Peer Review Program

The California Association of State Auditors (CASA) coordinates external peer reviews/assessments through an exchange of resources with other state entities. The exchange of resources is accomplished through a Memorandum of Understanding and allows departments to meet their peer review requirements without additional funding. This overview is designed to explain the process involved for state agencies in obtaining an external peer review.

Since 1989, external peer reviews have been required of all audit organizations conducting audits in accordance with Generally Accepted Government Audit Standards (GAGAS). Similar requirements were also implemented by the American Institute of Certified Public Accountants and the Institute of Internal Auditors (IIA).

The CASA External Peer Review Program was developed to assist California state agencies in complying with California Government Code section 13886.5 and professional audit standards. All state agencies that have internal audit functions are required to conduct audits according to the International Standards for the Professional Practice of Internal Auditing Framework (ISPPA) or Generally Accepted Government Audit Standards (GAGAS). The ISPPA standards require entities obtain an external peer review at least every five years. Entities that perform audits in accordance with GAGAS are required to obtain an external peer review at least every three years.

CASA has conducted 2-3 peer reviews a year and our services have become so popular that we have a waiting list of entities needing a peer review. This month we have implemented a new Peer Review Request form that can be found on our website. If you would like a peer review performed, please complete the form and send it in.

## New Faces...New Classes

CASA is excited to introduce two new classes from two dynamic trainers!



Lee Scott, CEO of Unleashing Leaders, will provide a [Leadership](#) training on September 18<sup>th</sup>. Lee has coached and trained thousands of public and private sector professionals on leadership. His engaging style helps leaders face daunting challenges and achieve successful results. In his spare time, Lee is also a faculty member at CSU Sacramento.



Leita Hart-Fanta will give a training on [GAGAS: Yellow Book Standards](#) on November 6<sup>th</sup>. Leita is an experienced facilitator, speaker, and instructor who likes to make finance and auditing both fun and easy. She is the owner and operator of AuditSkills, founder of Yellowbook-CPE.com, as well as author of several accounting books and Continuing Professional Education self-study manuals.

Both instructors come with a wealth of experience and technical knowledge in training and personal development.

We look forward to having them join CASA later this year!

## New Training Facility

In May—June, classes were scheduled at Embassy Suites in downtown Sacramento.

In August, we will try another venue—Hilton Arden West.

Both venues are great, but we are looking for your feedback.

Tell us what you think! One of the two venues will become our permanent training venue.

We hope you enjoy the change!

## Membership

Interested in joining CASA? Membership is open to all auditors, accountants, and state employees.

- No membership fees
- No membership dues

## Contact Info

Got questions?

Contact us via email at [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

or call us at  
(916) 633-1CPE (1273)



## We want your feedback!

If you are in need of certain training topics, please let us know.

If you are aware of great presenters, please tell us.

CASA is committed to bringing you the best training possible.

Send feedback to our email at: [CASAuditors@gmail.com](mailto:CASAuditors@gmail.com)

## Upcoming Training

[IT For Non-IT Auditors](#)

**July 17, 2017**

[Fraud Risk Assessment](#)

**August 14, 2017**

[Leadership](#)

**September 18, 2017**

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Click [HERE](#) for information on how to register for upcoming courses!